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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 1 Sheet 1

Compl te if Known				
Application Number	10/335,061			
Filing Date	December 31, 2002			
First Named Inventor	Ronald A. Katz			
Art Unit	3625			
Examiner Name	NA			
Attorney Docket Number	PAT-009E			

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 6,125,356 A	09/26/2000	Brockman, Robert T., et al.	(A)
/c	AB	US- 5,826,240 A	10/20/1998	Brockman, Robert T., et al.	
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		FORE	IGN PATENT D	OCUMENTS		
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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SOS BURN HATEL	Application Number	09/691,392
INFORMATION DISCLOSURE	Filing Date	October 17, 2000
STATEMENT BY APPLICANT	First Named Inventor	Ronald Katz
(Use as many sheets as necessary)	Art Unit	3627
(Use as many sneets as necessary)	Examiner Name	McClellan, James S.
Sheet 1 of 1	Attorney Docket Number	PAT-009C

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
9-	A4	FLEMING MEEKS, The Future Of The Future, Barron's, November 13, 2000, pages V5-V8.	
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Examiner Signature	Jan & Millel	Date Considered	10/1/03	

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Substitute for form 1449/PTO	Application Number	09/691,392
INFORMATION DISCLOSURE	Filing Date	October 17, 2000
STATEMENT BY APPLICANT	First Named Inventor	Ronald Katz
	Art Unit	3627
(Use as many sheets as necessary)	Examiner Name	McClellan, James S.
Sheet 1 of 1	Attorney Docket Number	PAT-009C

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Examiner Initials*	Cite No. ¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Sheet 1

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number** 09/691,392 Filing Date October 17, First Named Inventor **Ronald Katz** Art Unit 3627 James Ş. McClellan **Examiner Name** PAT-009C Attorney Docket Number

			U. S. PATENT	DOCUMENTS	3300
Examiner Initals*	Cite No. ¹	Document Number  Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Je-	AM	^{US-} 6,119,099	09-12-2000	Walker, Jay S., et al.	
01	AN	^{US-} 6,196,458 B1	03-06-2001	Walker, Jay S., et al.	
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	AS	^{US-} 5,701,400	12-23-1997	Amado, Armando	
	AT	^{US-} 5,293,615	03-08-1994	Amado, Armando	
	AU	^{US-} 6,055,513	04-25-2000	Katz, et al.	Copy not provided - is parent case.
A	AV	^{US-} 09/505,619	02-16-2002	Katz, et al.	Copy not provided - is continuation.
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		FOREI	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
SH-	AW	WO 98/53406	12-26-1998	Griffiths et al	Copy not provided - cited in parent case.	
	AX	WO 98/34189	08-06-1998	Roth et al	Copy not provided - cited in parent case.	
	AY	WO 02/05122 A2	01-17-2002	Agarwal, Amit		
	AZ	WO 00/46720	08-10-2000	Cockerill et al		
9	ВА	WO 99/46706	09-16-1999	Katz et al.		
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Signature Considered 10/1/13	Examiner Signature			Date Considered 10/1/43	
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Complete if Known **Application Number** 09/691,392 INFORMATION DISCLOSURE **Filing Date** October 17, 2000 STATEMENT BY APPLICANT **First Named Inventor** Ronald Katz Art Unit 3627 (Use as many sheets as necessary) **Examiner Name** McClellan, Jame Attorney Docket Number Sheet PAT-009C 4

	1 00	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Ja	A6	TEHRANI, NADJI, Their Synergy Is Your Competitive Edge, Telemarketing & Call Center Solutions, Norwalk, September 1997.	
	A7	PEPPERS, et al., The One to One Future Building Relationships One Customer at a Time, copyright 1993, 1996, pp. 3-17; 40-43. (copy not provided - cited in parent case)	
	A8	BINKLEY, CHRISTINA, Soon, the Desk Clerk Will Know All About You, Wall Street Journal, May 8, 2003, page D4.	
	A9	MARKOFF, et al., In Searching the Web, Google Finds Riches, The New York Times, April 13, 2003.	
	A10	BRUNO, MICHAEL P., MicroCreditCard to Launch Micro Payment Service, Washtech.com, August 29, 2000.	
	A11	FANO, ANDREW E., Shoppers Eye: Using Location-based Filtering for a Shopping Agent in the Physical World, 1998, Plus other papers related to presentation of this paper at the Second International Conference on Autonomous Agents.	
	A12	Business Logic, Documents from www.blogicsys.com, September 16, 2002.	
	A13	Be Free, Documents from www.befree.com, September 18, 2002.	
	A14	SAS, Documents from www.sas.com, September 16, 2002.	
Je .	A15	Amdocs, Documents from www.amdocs.com, September 18, 2002.	

Examiner Signature Signature Signature	Date Considered	10/1/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	October 17, 2000 JUN 6		
				First Named Inventor	Ronald Katz	<b>~</b> 203	
				Art Unit	3627	andunda	
(Use as many sheets as necessary)			cessary)	Examiner Name	McClellan, James S.	4 4 2 7 7	
Sheet	3	of	4	Attorney Docket Number	PAT-009C		

		NON PATENT LITERATURE DOCUMENTS			
Examin Initials*	4	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
A.	_ A16	Miva, Documents from www.miva.com, September 18, 2002.			
0	A17	E.Piphany, Documents from www.epiphany.com, September 18, 2002.			
	A18	Cycorp, Documents from www.cyc.com, September 18, 2002.			
	A19	Acxiom, Documents from www.acxiom.com, September 18, 2002.			
	A20	KPMG Consulting, Documents from www.kpmgconsulting.com.			
	A2 ⁻	SkyGo, Documents from www.skygo.com, March 16, 2001.			
	A22	Business Objects, Documents from www.businessobjects.com, September 18, 2002.			
	A23	Convergys, Documents from www.convergys.com, October 8, 2002.			
	A24	Active Decisions, Documents from www.activedecisions.com, September 17, 2002.			
A	_ A2	Click2Boost, Documents from www.click2boost.com, September 17, 2002.			

Date Examiner S. m Clell 10/163 Considered Signature

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JUN 0 2 2003 Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid Complet if Known Substitute for form 1449/PTO **Application Number** 09/691,392 INFORMATION DISCLOSURE **Filing Date** October 17, 2000 STATEMENT BY APPLICANT **First Named Inventor** Ronald Katz Art Unit 3627 (Use as many sheets as necessary) Examiner Name McClellan, James S. Attorney Docket Number Sheet 4 PAT-009C 4 of

			NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*		Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		A26	ACTV, Documents from www.actv.com, April 23, 2002.		
		A27	DoubleClick, Documents from www.doubleclick.com, September 16, 2002.		
		A28	Gemstar-TV, Documents from www.gemstartvguide.com, December 14, 2001.		
		A29	GoldPocket, Documents from www.goldpocket.com, September 17, 2002.		
$\overline{Q}$	<del> </del>	A30	Wink, Documents from www.wink.com, September 16, 2002.		
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Signature	James Millelle	Considered	401107

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